



#5725

PATENT  
Docket No. 20002/16652

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): TOWLE et al.

Serial No.: 10/664,475

Filed: September 17, 2003

For: "Methods and Apparatus to Optically  
Couple an Optoelectronic Chip to a  
Waveguide"

Group Art Unit: 2811

Examiner: Cuong Quang Nguyen

) I hereby certify that this paper is  
) being deposited with the United  
) States Postal Service with  
) sufficient postage as first class  
) mail in an envelope addressed to:  
) Commissioner for Patents, P.O.  
) Box 1450, Alexandria, VA 22313-  
) 1450 on this date:

**January 4, 2007**

  
James A. Flight

Registration No.: 37,622

Attorney for Applicant(s)

**AMENDMENT TRANSMITTAL WITH  
PETITION FOR EXTENSION OF TIME**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

01/04/2007 15:12:05 00000041 10664475  
01 FC:1251 120.00 OP

Sir:

Transmitted herewith is a response to the Office action pending in the above application.

**1. Small Entity Status**

- ☐ Verified statement(s) claiming small entity status is(are) attached.
- ☐ Small entity status has been established and is still effective.
- ☒ Has not been established.

**2. Extension of Time**

- ☒ This is a petition for an extension of time under 37 CFR 1.136 for the total number of months checked below:

EXTENSION (Months)	FEE FOR LARGE ENTITY		FEE FOR SMALL ENTITY	
One Month	X	\$120.00		\$60.00
Two Months		\$450.00		\$225.00
Three Months		\$1020.00		\$510.00
Four Months		\$1,590.00		\$795.00
Fifth Month		\$2,160.00		\$1,080.00

**If an additional Extension of Time is required, please consider this a petition therefor.**

Extension Fee: \$120.00

- ☐ An extension for        month(s) has already been secured and the fee paid therefor of \$        is deducted from the total fee due for the total months of extension now requested.

Deduction: \$0.00

**Extension Fee Due With This Request \$120.00**

3. **Fee for Claims**

- ☒ The fee for additional claims [(37 CFR 1.16(b)-(d))] has been calculated as shown below:

					SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	Claims Remaining After Amendment	Highest No. Previously Paid For		Present Extra	Rate	Additional Fee	Rate	Additional Fee
TOTAL	25	MINUS	44	= 0	x25=	\$	x50=	\$0
INDEP.	4	MINUS	7	= 0	x100=	\$	x200=	\$0
First Presentation of Multiple Dependent Claim					+180=	\$	+360=	\$
<b>TOTAL ADDITIONAL FEE</b>						\$	<b>OR</b>	\$0

4. **Method of Payment of Fees**

- ☒ Attached is a check in the amount of: \$120.00
- ☐ Charge Deposit Account No. 50-2455 in the amount of: \$\_\_\_\_\_

A copy of this Transmittal is enclosed.

5. **Deposit Account and Refund Authorization**

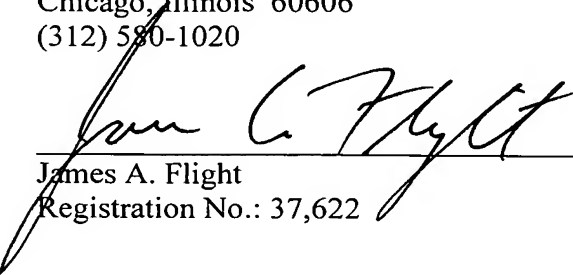
The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 1.17 to Deposit Account No. 50-2455. A copy of this Transmittal is enclosed.

Please refund any overpayment to Hanley, Flight & Zimmerman, LLC at the address below.

Respectfully submitted,

HANLEY, FLIGHT & ZIMMERMAN, LLC  
USPTO Customer Number 34431  
150 South Wacker Drive  
Suite 2100  
Chicago, Illinois 60606  
(312) 580-1020

By:

  
James A. Flight

Registration No.: 37,622

January 4, 2007